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Attorney Docket No. RADNT-031A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Dae, et al.

Art Unit: 3763

Application No.: 10/015,220

Examiner: Not Assigned

Filed: October 26, 2001

For: Intra-Aortic Balloon
Counterpulsation with Concurrent
Hypothermia

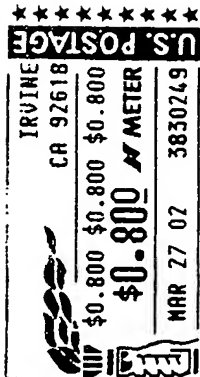
Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**Response to Notice to File Missing Parts
Transmittal Letter**

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed January 28, 2002

- ☒ A Declaration of Inventorship and Limited Power of Attorney is enclosed.
- ☒ An Assignment of the invention to Radiant Medical, Inc., including a cover sheet.
- ☒ A Statement of Ownership and Power of Attorney by Assignee
- ☐ The following declaration(s) in support of Small Entity status for this application are enclosed:
 - ☐ Inventor(s).
 - ☐ Individual other than inventor.
 - ☐ Nonprofit organization.
 - ☒ Small business concern.
- ☒ Check No. 2573 in the amount of \$520.00.



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ROBERT D. BUYAN
STOUT, UXA, BUYAN & MULLINS, LLP
4 Venture, Suite 300, Irvine, CA 92618

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,220	10/26/2001	Michael W. Dae	RADNT-031A

CONFIRMATION NO. 6591

FORMALITIES LETTER



OC00000007369844

Robert D. Buyan
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Suite 300
4 Venture
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Date Mailed: 01/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$90.
 ■ \$90 for 5 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 960.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/08/2002 JAD001 00000034 10015220
01 FC:201 370.00 OP
02 FC:203 45.00 OP
03 FC:205 65.00 OP